

## **NEEDLE WITH FIBEROPTIC CAPABILITY**

**[0001]** This application claims the benefit of priority under 35 U.S.C. § 119(e) to U.S. Serial No. 60/411,050 filed on September 17, 2002.

### **I. Field of the Invention**

**[0002]** The present invention relates to diagnostic and monitoring devices. More particularly, the present invention relates to diagnostic and monitoring devices having fiber optic capabilities.

### **II. Background of the Invention**

**[0003]** Devices for monitoring, measuring, or diagnosing a physiological condition or a biological phenomenon are known in the art. Some of these devices are able to quickly and/or non-invasively evaluate a condition or detect a phenomenon by using spectrophotometry. For example, a number of procedures for monitoring or diagnosing medical conditions benefit from the ability to use spectrometric means to accomplish the procedure. An example is pulse oximetry. As will be appreciated by one of ordinary skill in the art, the degree of oxygen saturation of hemoglobin, SpO<sub>2</sub>, in arterial blood is often a vital index of the condition of a patient. As blood is pulsed through the lungs by action of the heart, a certain percentage of the deoxyhemoglobin, Hb, oxygenates so as to become oxyhemoglobin, HbO<sub>2</sub>. From the lungs, the blood passes through the arterial system until it reaches the capillaries at which point a portion of the HbO<sub>2</sub> delivers its oxygen to support the life processes in adjacent cells.

**[0004]** By medical definition, the oxygen saturation level is the ratio of HbO<sub>2</sub> to the total hemoglobin; therefore as will be appreciated,  $SpO_2 = HbO_2 / (Hb + HbO_2)$ . The saturation value is a significant physiological value. A healthy, conscious person will have an oxygen saturation of approximately 96 to 98%. A person can lose consciousness or suffer permanent brain damage if that person's oxygen saturation value falls to very low levels for an extended period of time. Because of the importance of the oxygen saturation value, pulse oximetry has been recommended as a standard of care for every general anesthetic.

**[0005]** As stated, some pulse oximeters use spectrophotometry to determine the saturation value of blood. Specifically, these oximeters analyze the change in color of the blood to determine the saturation value. As will be appreciated, when radiant

energy passes through a liquid and/or tissue, certain wavelengths may be selectively absorbed by particles which are dissolved therein. For a given path length that the light traverses through the liquid, Beer's law (the Beer-Lambert or Bouguer-Beer relation) indicates that the relative reduction in radiation power ( $P/P_0$ ) at a given wavelength is an inverse logarithmic function of the concentration of the solute in the liquid that absorbs that wavelength.

**[0006]** For a solution of oxygenated human hemoglobin, the absorption maximum is at a wavelength of about 640 nanometers (red), therefore, instruments that measure absorption at this wavelength are capable of delivering clinically useful information concerning oxyhemoglobin levels.

**[0007]** In general, methods for non-invasively measuring oxygen saturation in arterial blood utilize the relative difference between the electromagnetic radiation absorption coefficient of deoxyhemoglobin, Hb, and that of oxyhemoglobin, HbO<sub>2</sub>.

**[0008]** It is well known that deoxyhemoglobin molecules absorb more red light than oxyhemoglobin molecules, and that absorption of infrared electromagnetic radiation is not affected by the presence of oxygen in the hemoglobin molecules. Thus, both Hb and HbO<sub>2</sub> absorb electromagnetic radiation having a wavelength in the infrared (IR) region to approximately the same degree; however, in the visible region, the light absorption coefficient for Hb is quite different from the light absorption coefficient of HbO<sub>2</sub> because HbO<sub>2</sub> absorbs significantly more light in the visible spectrum than Hb.

**[0009]** In the practice of the typical "pulse oximetry" technique, the oxygen saturation of hemoglobin in intravascular blood is determined by (1) alternatively illuminating a volume of intravascular blood with electromagnetic radiation of two or more selected wavelengths, e.g., a red wavelength and an infrared wavelength, (2) detecting the time-varying electromagnetic radiation intensity transmitted through or reflected by the intravascular blood for each of the wavelengths, and (3) calculating oxygen saturation values for the patient's blood by applying the Lambert-Beer's transmittance law to the detected transmitted or reflected electromagnetic radiation intensities at the selected wavelengths.

**[0010]** As will be appreciated from the foregoing, whereas apparatuses are available for making accurate measurements on a sample of blood in a cuvette (in vitro), these

devices suffer from the drawback that they do not permit in vivo, in situ, analysis. As it is not always possible or desirable to withdraw blood from a patient, and it obviously is impractical to do so when continuous monitoring is required, such as while the patient is in surgery. Therefore, much effort has been expended in devising instrumentation for making such measurements by noninvasive or less invasive means.

**[0011]** The pulse oximeters used today are desk-top models or handheld models that are interfaced to the patient through the use of a multi-wire bundle. Despite their seemingly manageable size and the sophistication of technology, these units are still bound by several limitations. For example, as will be appreciated by one of ordinary skill in the art, these devices are still too big and unwieldy for use in monitoring smaller vessels and areas of circulation. Also, as will be appreciated, these prior art devices lack the minute size and ability to be coupled to a particular area of a patient for continuous monitoring of a precise area or condition in, for example, a trauma situation.

**[0012]** The foregoing and like drawbacks are also limiting on other monitoring and diagnosing devices and methods. For example, presently, hand-held Doppler ultrasound is used to monitor surgical flap integrity. As will be appreciated, ultrasound is useful only in monitoring larger arteries and is ill adapted to give information about the circulation at the capillary bed level. Likewise, surgical flaps and grafts often have tenuous blood supplies which make it very difficult to monitor them effectively with the currently available devices.

**[0013]** Moreover, the use of spectrophotometric monitoring of deep tissues and organs for oxygenation, indocyanine green clearance and other like spectrophotometric phenomenon is, at best, difficult and cumbersome with the currently available devices.

**[0014]** The foregoing underscores some of the problems associated with conventional diagnosing and monitoring devices. Furthermore, the foregoing highlights the long-felt, yet unresolved need in the art for a portable and reliable device adapted to allow a user to monitor a particular area of interest, even if at the capillary bed level or even if having a tenuous blood supply, with isolated or continuous spectrophotometric means.

### **III. Summary of the Invention**

**[0015]** The present invention overcomes the practical problems described above and offers new advantages as well. One object of the invention is to provide a diagnosing and monitoring device. According to this object of the invention, one aspect of the invention is to provide a diagnosing and monitoring device adapted to allow spectrophotometric analysis of deep tissues and organs for oxygenation, indocyanine green clearance and other phenomenon. In accordance with another aspect of the invention there is provided a diagnosing and monitoring device adapted for monitoring surgical flaps and grafts despite tenuous blood supplies or the need for remote positioning of the device in a patient's body. According to yet another aspect of the invention there is provided a diagnosing and monitoring device adapted to allow continuous monitoring of a vital condition of a patient or a tissue, in for example, a trauma situation.

**[0016]** In accordance with these aspects of the invention, in one embodiment of the invention there is provided a medical device comprising a needle assembly. In accordance with this embodiment, the needle assembly has a needle body having a lumen extending therethrough. The lumen may have fiber optic bundles in the form of threads or cables disposed within the lumen of the body. Furthermore, the body preferably defines a plurality of ports. The ports preferably are adapted for the fiber optics to carry electromagnetic radiation, such as light and/or infrared radiation, to and from an area to be monitored or diagnosed in the vicinity of the needle body. In a presently preferred embodiment, there are multiple side ports in the body and a main port defined in a tip area of the needle. According to one embodiment, the ports allow electromagnetic radiation, such as light and/or infrared radiation, to be carried from a light source to an area to be monitored, and allows electromagnetic radiation to return up the lumen through the main port for evaluation by a sensor or other suitable means to determine a vital. In an alternative embodiment, the main port allows visible light and/or infrared light to be carried to a monitoring area and the side ports allow visible light and/or infrared light to be carried to a sensor.

[0017] According to another embodiment of the invention the same fiber optics carry both electromagnetic radiation from the source to the monitoring area and from the monitoring area to a sensor.

[0018] According to another embodiment of the invention, the needle has a generally circular cross-section and the body has a series of ports circumscribing the needle along its length proximal the tip end. Alternatively, the needle may have a series of ports traversing a length of the needle and defining a straight line, serpentine configuration, or any other pattern.

[0019] According to another embodiment of the invention the needle assembly includes a plurality of barbs (or anchoring means for securing the needle to an area to be monitored) configured for securing the needle assembly in a fixed position in an area to be monitored.

[0020] It is another object of the invention to provide methods for using the aforementioned devices to monitor, measure or diagnose a physiological condition or a biological phenomenon. In accordance with this object of the invention, there are provided methods of using these devices in spectrophotometric analysis. Other aspects of the invention include use of these devices in medicine, veterinary medicine, botany, basic science research, and any suitable spectrophotometric method.

[0021] The invention as described and claimed herein should become evident to a person of ordinary skill in the art given the following enabling description and drawings. The aspects and features of the invention believed to be novel and other elements characteristic of the invention are set forth with particularity in the appended claims. The drawings are for illustration purposes only and are not drawn to scale unless otherwise indicated. The drawings are not intended to limit the scope of the invention. The following enabling disclosure is directed to one of ordinary skill in the art and presupposes that those aspects of the invention within the ability of the ordinarily skilled artisan are understood and appreciated.

#### **IV. Brief Description of the Drawings**

[0022] The following enabling description is provided with reference to the accompanying figures wherein:

[0023] Figures 1(A) and (B) depict a side cross-sectional view and side view of one embodiment of a fiber optic needle according to the invention,

[0024] Figure 2 depicts a side view of an embodiment of a needle body according to the invention,

[0025] Figure 3 depicts a side view of another embodiment of a needle body according to the invention,

[0026] Figure 4 depicts a front end view of an embodiment of a needle body according to the invention, and

[0027] Figure 5 depicts a side view of an embodiment of a barbed needle assembly according to the invention.

[0028] Figure 6 depicts a side view of a curved needle body embodiment according to the invention.

[0029] Figure 7 depicts a side view of a pronged needle body embodiment according to the invention.

[0030] Figure 8 is a block diagram for a fiber optic needle monitor according to the invention.

[0031] While the invention will be described and disclosed in connection with certain preferred embodiments and procedures, it is not intended to limit the invention to those specific embodiments and procedures. Rather it is intended to cover all such alternative embodiments and modifications as fall within the spirit and scope of the invention.

## **V. Detailed Description of the Drawings**

[0032] Generally, the present invention relates to devices for monitoring a vital sign or diagnosing a condition in a patient, and in particular, devices using spectrophotometric analysis in doing so. While the present invention is described in connection with a pulse oximeter, it will be readily appreciated by one of ordinary skill in the art that the teachings of the present invention can be applied to a variety of devices in a variety of fields.

[0033] For instance, the invention is not limited to spectrophotometric determination of oxyhemoglobin and deoxyhemoglobin. Rather, the present invention should be understood of encompassing spectrophotometric methods of determining other biologically significant analytes, such as cytochrome oxidase, myoglobin, NAD, NADH,

NADP, and/or NADPH. For example, not only is the present invention useful for detecting NADP and NADPH because they both absorb light at 260 nm (and can be detected at that wavelength) as a result of the adenine part of the molecule which does not change as a function of the oxidation state; but also the present invention may be particularly suitable for detecting the big increase of absorbance of light at 340 nm which occurs as a result of the transition from oxidized NAD(P)<sup>+</sup> to reduced NAD(P)H.

**[0034]** The present invention may be used in connection with other medical and like monitoring of small or difficult to access areas or tissues, analogous uses in veterinary medicine, spectrophotometry in botany, other science research, or any tissue spectrophotometric application in vivo or in vitro for any sized specimens.

**[0035]** A presently preferred embodiment of the invention is a needle assembly for pulse oximetry. As depicted in Figures 1(A) and (B), needle assembly includes a needle body 10 attached to jack 50 via cord 40, light source 19, and light detector 20. As with any needle for delivering a fluid, needle body 10 has a generally uniform and circular cross-section. Needle body 10 is preferably shaped as a long skinny tube having a sharp piercing point on the tip end 11. Between an open back end 12 and the open tip end 11, the body further defines a lumen 13. Also defined by the body 10 are a plurality of ports 14, 15 providing inter-luminal and extra-luminal passage. Ports 14, 15 are preferably disposed around the circumference of the body 10 in an area between the back end 12 and the tip end 11.

**[0036]** The assembly includes a plurality of fiber optic members (or means for carrying electromagnetic radiation) 16, 17, 18, preferably in a bundle, positioned within the lumen 13. In the embodiment depicted in Figure 1(A), the bundle includes a central fiber optic member 16, first side fiber optic member 17 and second side fiber optic member 18. Central member 16 extends through the lumen 13 to tip end 11. First and second members 17, 18 also extend through lumen 13 to respective side ports 14, 15.

**[0037]** Cord 40 connects jack (or connector) 50 to fiber optic members 16, 17, 18 from needle body 10 to jack 50. In a presently preferred embodiment, jack 50 is adapted for coupling with an external sensor (or monitor) 21 as illustrated in Figure 8. According to this embodiment, sensor 21 may be a spectrophotometer or like device. In a presently preferred embodiment, light source 19, which emits light with wavelengths of

660 nm (red) and 940 nm (near infrared), and light detector 20 communicate with respective fibers of fiber optic members 16, 17, 18. For example light source 19 communicates with fiber optic member 16 and light detector 20 communicates with fiber optic member 17 and port 14 and fiber optic member 18 and port 15.

**[0038]** Jack 50 preferably has a standard plug design to interface with a pulse oximetry spectrophotometer, a pulse monitor such as a plethysmograph, or other external sensor 21 or like device. As depicted in Figure 1, jack 50 may be adapted for coupling to sensor 21 (either indirectly through a cable or directly), which includes light source 19 and light detector 20. According to another embodiment, jack 50 includes a light detector 20 and a light source 19. In yet another alternative embodiment, needle body 10 includes, or is directly coupled to, light source 19 and light detector 20 for example within a housing 30 (illustrated in Figure 6) attached to back end 12. An alternate embodiment replaces jack 50 with a wireless transmitter to communicate with sensor 21.

**[0039]** As will be appreciated, in embodiments in which light source 19 and/or light detector 20 is included with or near needle body 10, cord 40 preferably comprises an insulated wire for carrying electrical signals from light detector 20 to jack 50 or sensor 21. Alternatively, light source 19 and/or light detector 20 may be housed within jack 50 with cord 40 acting as a conduit for fiber optic members 16, 17, 18.

**[0040]** Light source 19 may be any suitable electromagnetic radiation source, or a plurality of sources, or any like means for providing radiation, preferably light and/or infrared radiation, to a radiation and/or light carrying means, such as central fiber optic member 16. As will be appreciated, light source 19 includes, but is not limited to, any source of radiation used in any spectrophotometric analysis device. In a preferred embodiment, light source 19 emits at least two frequencies of light at, for example, about 660 nm and about 940 nm. The light source 19 preferably is one or more of the following: two light emitters such as light emitting diodes (LED), a bispectral emitter, a dual spectral emitter, a photoemitter, or a semiconductor die. However, any light source that facilitates reflectance pulse oximetry may be employed. Typically, the two emitter arrangement will include a red LED emitting light with a wavelength around or at 660 nm and a near-infrared LED emitting light with a wavelength in the range of 890 to 950 nm



and more particularly at about 940 nm. The light source 19 may emit light having a bandwidth, for example, in the range of 20 to 50 nm.

**[0041]** The light detector (or means for detecting electromagnetic radiation) 20 detects light emitted by the light source 19. Electrical signals representing the detected light are transmitted by the light detector 20 to sensor 21. In a preferred embodiment, sensor 21 may be a spectrophotometer, or other similar oximeter device, that discriminates between the relative intensity of these emissions and provides an index as to the degree of oxygen saturation of hemoglobin in blood. Preferably, the light detector 20 may be one of the following: a photoelectric receiver, a photodetector, or a semiconductor die.

**[0042]** Sensor 21 is used generically to indicate any suitable sensor for reading, interpolating, evaluating, sensing or using information or phenomena provided to it for calculating, displaying, reading or manipulating the same to allow a user to discern, calculate, interpolate or establish a value or a condition, or the absence of a condition. As will be appreciated, sensor 21 includes, but is not limited to, any sensor or sensors adapted to aid in spectrophotometric analysis methods.

**[0043]** Figure 2 depicts an alternative embodiment of a needle body 10 according to the invention. In the embodiment depicted in Figure 2, a plurality of ports 14, 15 are disposed generally equidistantly in a straight line along a length of the body. Accordingly, in this embodiment, ports 14, 15 serve to deliver and carry radiation in the process. Any suitable manner for communicating radiation is contemplated by the invention. For example, ports 14 may communicate with one or more fiber optic members 17 for irradiating the adjacent area and ports 15 may communicate with one or more fiber optic members 18 for receiving radiation and communicating the signal to sensor 20. Alternatively, all of the ports may be coupled to a single or multiple fiber optic members and serve dual roles of irradiating and receiving. In addition, alternatively, tip end may be coupled to a central member for radiating and/or receiving radiation, in which case the side ports 14, 15 will preferably perform an opposite role. As exemplified, any combination of irradiating and receiving is considered part of the present invention.

**[0044]** Figure 3 depicts yet another embodiment of the present invention. According to this embodiment, side ports 14, 15 are disposed in a serpentine configuration along a tip end length of the body 10. As previously discussed, any number of fiber optic members performing single or dual roles is contemplated by the invention, and with or without use of a central fiber optic member. Likewise, as will be appreciated, any pattern or configuration of ports along the body are understood to be contemplated by the present invention.

**[0045]** Figure 4 depicts a four member bundle of fiber optics according to yet another alternative embodiment of the invention. As depicted, each fiber is disposed the length of the needle and responsible for the irradiation and/or receiving for a quarter of the circumference of the area being monitored. In accordance with this embodiment, any combination or computation of irradiating and receiving is contemplated by the embodiment and readily appreciated by one of ordinary skill in the art.

**[0046]** Figure 5 depicts a front portion of a needle assembly 1 according to the invention. In this embodiment, disposed on or near back end 12 of needle body are barbs 30. Barbs 30 are preferably sized and configured to help anchor or secure needle assembly in place while in use. As will be appreciated, barbs 30 may be advantageous and desirable in, for example, trauma situations when continuous monitoring is desired, yet maintaining intimate contact with a monitoring area may be difficult. Inserting the device subcutaneously or into muscle tissue and anchored into place via barbs is also advantageous in other applications where maintaining device position is desired yet difficult.

**[0047]** Figure 6 depicts another preferred embodiment of the invention. According to this embodiment, needle body 10' has a curved configuration. As will be appreciated, the curved configuration of needle body 10' allows the device to be adapted for not only reflectance spectrophotometry, but also transillumination spectrophotometry. In the case of transillumination spectrophotometry, light is directed from ports 14 disposed on one side of the needle body 10' to ports 15 on the other side of the needle body 10' or vice versa. The amount of light detected at ports 15 may then be communicated to sensor 21. In a preferred embodiment, ports 14 are configured to allow fiber optic members 17 to emit light towards ports 15. According to this embodiment, ports 15

include light detector 20 for detecting light passing from port 14 to port 15. Another embodiment provides ports 14 and 15 paired on both ends of the curve to allow for reflectance spectrophotometry in addition to transilluminated spectrophotometry.

**[0048]** Figure 7 depicts yet another alternative embodiment of the invention. According to this embodiment, needle body 10 has a multi-pronged, or fork, configuration. As will be appreciated, the two-pronged configuration of Figure 7 allows for one prong to serve as the light emitting side, and the other as the light sensing side, in a transillumination application. Accordingly, ports 14, having light emitting fiber optic members 17, are located on a first prong and directed in the direction of second prong. Second prong includes ports 15 in the path of light from ports 14 for detecting light passing from ports 14, through body tissue, to ports 15. Another embodiment provides ports 14 and 15 paired on both ends of the curve to allow for reflectance spectrophotometry in addition to transilluminated spectrophotometry.

**[0049]** Although Figures 6 and 7 were described as including ports, the invention should be understood to include any configuration or material of construction which allows light from one area of the needle body to be emitted and collected, sensed or detected on another area of the needle body.

**[0050]** Figure 8 depicts a block diagram for a fiber optic needle monitor according to the invention. As depicted, the device includes a needle body 100 in communication with fiber optic members 200. Preferably, the fiber optic members 200 are disposed in the needle body 100 and in communication with one or more ports 150 or areas to allow radiation of tissue adjacent the needle body. The fiber optic members 200 in turn are in communication with a light source 300. The light source 300 may be disposed in any suitable position according to the invention. For example, the light source 300 may be disposed on the back of the needle body itself, or be remote of the needle body, and provide illumination via a fiber optic cord extending from the light source to the fiber optic members disposed in the needle body.

**[0051]** Light detector's 400 role is to receive light which is either backscattered in reflectance spectrophotometry or which passes through tissue in transillumination spectrophotometry. The light detector 400 may be disposed in any suitable position. For example, it may be in direct communication with fiber optic members 200, disposed

in the needle or adjacent the back of the needle body 100, or remotely disposed and sense a volume of light through a fiber optic cable or like structure in communication with said needle.

**[0052]** The light source 300 and the light detector 400 communicate with a wiring assembly 500. Wiring assembly 500 is in turn coupled to the sensor 600. However, light detector 400 could be integral with the sensor 600 and thus the signal would travel from wire to the plug and then received by the light detecting portion of the sensor.

**[0053]** As previously discussed, sensor 600 may comprise any suitable external device. Alternatively, sensor may be integral with, or immediately adjacent, the needle body. In a presently preferred embodiment, sensor 600 is a spectrophotometer, which is preferably adapted for pulse oximetry.

**[0054]** The devices described herein have numerous uses and applications that will be readily apparent to one of ordinary skill in the art. Specifically for example, needles having fiber optic bundles are small and non-intrusive. Light may be passed through the lumen and reflected back for spectrophotometric analysis from almost any area and for monitoring or analyzing any suitable vita. For example, hand-held Doppler ultrasound is used to monitor surgical flap integrity. Ultrasound is useful only in monitoring larger arteries and gives no information about the circulation at the capillary bed level. The aforementioned devices have the capability to monitor at the capillary bed level. Also, presently monitoring of deep tissues and organs for oxygenation, indocyanin green clearance and other spectrophotometric phenomena is difficult and cumbersome with today's devices. The devices of the present invention allow implantation of the fiber optic sensor precisely and deeply in the area to be monitored.

**[0055]** In addition, as previously indicated pulse oximetry in a trauma situation can be difficult to achieve and maintain. These devices may allow for simple insertion into muscle or subcutaneously and held in place by barbs on the needle to provide continuous readings. Also, surgical flaps and graft often have tenuous blood supplies and it becomes very difficult to monitor them effectively with current techniques. The present invention provides the surgeon with continuous spectrophotometric access to the at risk tissues and allows immediate notice if the tissue becomes compromised.

The present invention also provides for use in difficult to monitor patients for pulse oximetry or for spectrophotometric studies of deep tissues and organs.

**[0056]** The present invention is not limited to spectrophotometric analysis of oxyhemoglobin and deoxyhemoglobin, but also encompasses spectrophotometric methods of determining other biologically significant analytes, such as, NAD, NADH, NAP, NAPH, cytochrome oxidase and/or myoglobin.

**[0057]** Moreover, the present invention offers numerous other uses in numerous other fields evident to those of ordinary skill in the art such as veterinary medicine, spectrophotometry in botany, basic science research, any tissue in vivo or in vitro for any specimen of any suitable size for any suitable spectrophotometric analysis.

**[0058]** Those skilled in the art will appreciate that various adaptations and modifications of the above-described preferred embodiments can be configured without departing from the scope and spirit of the invention. Therefore, it is to be understood that, within the scope of the appended claims, the invention may be practiced other than as specifically described herein.